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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/603,207	06/25/2003	Richard Douglas Kemp	336001-2024.1	2681

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FROMMER LAWRENCE & HAUG  
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NEW YORK, NY 10151

EXAMINER
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PHAM, KHANH B

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/603,207	<b>Applicant(s)</b> KEMP, RICHARD DOUGLAS	
	<b>Examiner</b> Khanh B. Pham	<b>Art Unit</b> 2166	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 09 April 2010.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-12, 16-24, 30, 32-35 and 56-69 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-12, 16-24, 30, 32-35 and 56-69 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date <u>4/9/10</u> . | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114 was filed in this application after appeal to the Board of Patent Appeals and Interferences, but prior to a decision on the appeal. Since this application is eligible for continued examination under 37 CFR 1.114 and the fee set forth in 37 CFR 1.17(e) has been timely paid, the appeal has been withdrawn pursuant to 37 CFR 1.114 and prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on April 9, 2010 has been entered.

### ***Claim Rejections - 35 USC § 102***

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. **Claims 1-12, 16-24, 30, 32-35, 56-69** are rejected under 35 U.S.C. 102(e) as being anticipated by Williamson et al. (US 2006/0253449 A1), hereinafter "**Williamson**".

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**As per claim 1**, Williamson teaches a system for providing legal information comprising:

- “at least one computer and a plurality of user terminals with communicate over a network” at Fig. 1;
- “at least one database associated with the at least one computer storing the legal information in association with a plurality of legal topics and a plurality of types of legal information” at Figs. 2-3
- “a computer readable medium or media storing programming that cause the at least one computer to access within the at least one database a plurality of items of legal information responsive to a request received from a user terminal” at [0033]-[0035];
- “each of legal information being associated with one or more respective topic tag identifying a legal topic or subtopic associated with the associated item of legal information” at [0014]-[0015], [0041]-[0046];
- “and each type tag identifying a type of legal information corresponding to the associated item of legal information” at [0041]-[0047];
- “cause each item of legal information associated with the accessed information to be provided for display on a display device associated with the user terminal from which the request was received” at Figs. 4-6;
- “automatically tabulated by the respective type tags and configured to be selectable at the user terminal to provide a request for display on the display device of the content associated with the selected item” at [0051]-[0054] and Figs. 4-6.

**As per claim 2**, Williamson teaches the system of claim 1 , wherein "the plurality of types of legal information comprises at least two of: administrative action, legislative action, rulemaking, reported judicial decisions and news" at Figs. 4-6.

**As per claim 3**, Williamson teaches the system of claim 1, wherein "causing each item of legal information to be display comprises causing each item of legal information to be provided for display in a separate display window by type" at Figs. 4-6.

**As per claim 4**, Williamson teaches the system of claim 3, wherein "the plurality of types of legal information comprises at least two of : "administrative action, legislative action, rulemaking, reported judicial decisions, court filings, news, and secondary materials" at Figs. 4-6.

**As per claim 5**, Williamson teaches the system of claim 3, wherein "the plurality of types of legal information comprises at least: administrative action, legislative action, rulemaking, reported judicial decisions, court filings, news, and secondary materials" at Figs. 4-6.

**As per claim 6**, Williamson teaches the system of claim 1, wherein "legal topics comprising at least two of: admiralty and maritime; alternative dispute resolution; antitrust; trade regulation; banking; finance; bankruptcy; business; commercial; consumer rights; corporate; business organizations; civil rights; collectibles and

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personal property; communications; media; constitutional; construction; contracts; criminal; education; employment; labor; entertainment; gaming; sports; environmental; estates, trusts, and wills; family; government; elections and politics; government benefits; government contracts; government administration; state government; local government; health; human rights; immigration; insurance; intellectual property; copyrights; patents; trademarks; international; international trade; internet; litigation; litigation administration; appellate procedure; civil procedure; damages and remedies; evidence; mergers and acquisitions; military; natural resources; energy; native populations; professions and occupations; professional licensing; professional responsibility; products liability; real property; science and technology; securities; US federal taxation; state taxation; international taxation; torts; transportation; and workers' rights" at Figs. 4-6.

**As per claim 7**, Williamson teaches the system of claim 1, wherein "the programming causes the at least one computer to:

- "automatically access within the at least one database new legal information responsive to the request while each item of legal information associated with the previously accessed legal information is provided for display on the display device" at [0036], [0070] and Fig. 9;
- "provide for display on the display device each item of legal information associated with the accessed new legal information together with each item of

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legal information associated with the previously accessed legal information all tabulated by type” at [0036], [0070] and Fig.9.

**As per claim 8**, Williamson teaches the system of claim 1, wherein “the programming causes the at least one computer to :

- “automatically and periodically access the at least one database to determine whether new legal information responsive to the request is stored within the at least one database while each item of legal information associated with the previously accessed legal information is provided for display on the display device” at [0036], [0070] and Fig. 9;
- “if new legal information responsive to the request is stored within the at least one database, provide for display on the display device each item of legal information associated with the new legal information together with each item of legal information associated with the previously accessed legal information all tabulated by type” at [0036], [0070] and Fig. 9.

**As per claim 9**, Williamson teaches the system of claim 1, wherein the programming causes the at least one computer to :

- “automatically and continually access the at least one database to determine whether new legal information responsive to the request is stored within the at least one database while each item of legal information associated with the

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previously accessed legal information is provided for display on the display device” at [0036], [0070] and Fig. 9;

- “if new legal information responsive to the request is stored within the at least one database, provide for display on the display device each item of legal information associated with the new legal information all tabulated by type” at [0036], [0070] and Fig. 9.

**As per claim 10**, Williamson teaches the system of claim 1, comprising “a plurality of databases for storing the legal information, wherein the legal information is stored in separate databases by legal topic” at [0042] and Fig. 3.

**As per claim 11**, Williamson teaches the system of claim 1, comprising “plurality of databases for storing the legal information, wherein the legal information is stored in separate databases by type of legal information” at [0042] and Fig. 3.

**As per claim 12**, Williamson teaches the system of claim 1, comprising “a plurality of databases for storing the legal information, wherein the legal information is provided by a plurality of sources, and the legal information is stored in separate databases according to the source that provided the information” at [0042] and Fig. 3.



**As per claim 16**, Williamson teaches the system of claim 1, wherein “the programming causes the at least one computer to display on the display device tabulated by type each item of legal information provided for display” at Figs. 4-6

**As per claim 17**, Williamson teaches the system of claim 16, wherein “each item of legal information displayed on the display device is associated with a selectable link to the associated content, and wherein the programming causes the at least one computer to generate a request to retrieve the associated content from the at least one database in response to selection of a selectable link at the user terminal” at Figs. 4-6.

**As per claim 56**, Williamson teaches the system of claim 16, wherein “the programming stored on the computer readable medium or media causes the at least one computer to provide for display on the display device content associated with a displayed item of legal information in response to selection thereof at the user terminal” at [0037].

**As per claim 57**, Williamson teaches the system of claim 56, wherein “the programming stored on the computer readable medium or media cause the at least one computer to display on the display device the content provided for display” at [0037].

**As per claim 18**, Williamson teaches the system of claim 57, wherein “the displayed content comprises a complete version of a document” at Figs. 4-6.

**As per claim 19**, Williamson teaches the system of claim 57, wherein “the displayed content comprises an abbreviated version of a document” at Figs. 4-6.

**As per claim 20**, Williamson teaches the system of claim 19, wherein “the abbreviated version of a document comprises a summary of a document” at Figs. 4-6.

**As per claim 21**, Williamson teaches the system of claim 19, wherein “the abbreviated version of a document comprises at least one redacted portion of a document” at Figs. 4-6.

**As per claim 22**, Williamson teaches the system of claim 16, wherein “the displayed content information comprises at least a partial image of a document” at Figs. 4-6.

**As per claim 23**, Williamson teaches the system of claim 57, wherein “displayed content and a displayed item of information with which the displayed content is associated are displayed in different display windows” at Figs. 4-6.

**As per claim 24**, Williamson teaches the system of claim 57, wherein “displayed content and displayed item of information with which the displayed content is associated are displayed in different monitor screens” at Figs. 4-6.

**As per claims 30, 69**, Williamson teaches a method of processing legal information comprising:

- “assigning to each of a plurality of documents which each comprises legal information relating to a plurality of legal topics at least one identifier associated with (a) at least one of the legal topics and (b) at least one of a plurality of types of legal information” at [0041]-[0046] and Figs. 2-3;
- “formatting the documents according to a protocol” at [0043];
- “storing the formatted documents in at least one database” at Figs. 2-3;
- “using identifiers associated with the stored documents to identify documents within the at least one database responsive to a request received from a user terminal for information related to at least one of the plurality of legal topics” at [0051]-[0054];
- “causing legal information associated with the identified documents to be provided for displayed on the display device, automatically tabulated by type according to the identifiers associated with the respective identified documents” at Figs. 4-6.

**As per claim 32**, Williamson teaches the method of claim 30, wherein “documents are stored in a plurality of databases” at Fig. 3.

**As per claim 33**, Williamson teaches the method of claim 32, wherein “the documents are stored in the plurality of databases according to at least one of: the topics in relation to which respective document are stored, the type assigned to the documents, and a source of the documents” at Fig. 3.

**As per claim 34**, Williamson teaches the method of claim 30, comprising:  
“checking formatted documents for compliance with a document receiving protocol; and  
generating a notice of defects automatically upon determination that a checked  
document does not comply with the protocol” at [0039].

**As per claim 35**, Williamson teaches the method of claim 34, comprising  
“automatically performing the assigning and formatting steps on the non-complying  
document” at [0039].

**As per claims 58, 68**, Williamson teaches a method for providing legal information  
comprising

- “associated items of legal information and content in a system comprising at least one computer and a plurality of user terminals which communicate over a network” at Fig. 1;
- “and at least one database associated with the at least one computer storing a plurality of items of legal information” at Figs. 1-3;
- “each item of legal information being associated with one or more respective topic tag and one or more respective type tags, each topic tag identifying a legal topic or subtopic associated with the associated item of legal information, and each type tag identifying a type of legal information corresponding to the associated item of legal information” at [0041]-[0046] and Figs. 2-3;

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- “the at least one computer accessing within the at least one database legal information responsive to a request from a user terminal” at Figs. 1-6;
- “the at least one computer providing for display on a display device associated with the user terminal from which the request was received each item of legal information associated with the accessed information” at [0051]-[0054] and Figs. 3-6
- “automatically tabulated by the respective type tag and configured to be selectable at the user terminal to provide a request for display on the display device of the content associated with the selected item” at Figs. 3-6.

**As per claim 59**, Williamson teaches the method of claim 58, comprising “the at least one computer displaying on the display device tabulate by type each item of legal information provided for display” at Figs. 4-6.

**As per claim 60**, Williamson teaches the method of claim 59, comprising “the at least one computer providing for display on the display device content associated with a displayed item of legal information in response to selection thereof at the user terminal” at Figs. 4-6.

**As per claim 61**, Williamson teaches the method of claim 60, comprising “the at least one computer displaying on the displayed device the content provided for display” at Figs. 4-6.

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**As per claim 62**, Williamson teaches the method of claim 59, wherein “displaying each item of legal information comprises displaying each item of legal information in a separate display window by type” at Figs. 4-6.

**As per claim 63**, Williamson teaches the method of claim 61, wherein “displaying the content comprises displaying the content in a different display window than each associated displayed item” at Figs. 4-6.

**As per claim 64**, Williamson teaches the method of claim 61, wherein “displaying the content comprises displaying the content in a different monitor screen than each associated displayed item” at Figs. 4-6.

**As per claim 65**, Williamson teaches the method of claim 59, wherein “each item of legal information displayed on the display device is associated with a selectable link to associated content, the method comprising generating a request to retrieve the associated content by selection of a selectable link at the user terminal” at [0046].

**As per claim 66**, Williamson teaches the method of claim 58, comprising “the at least one computer: automatically accessing within the at least one database new legal information responsive to the request while providing for display each item of legal information associated with the previously accessed legal information; and providing for display on the display device each item of legal information associated with the

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accessed new legal information together with each item of legal information associated with the previously accessed legal information all tabulated by type" at [0070] and Fig. 9.

**As per claim 67**, Williamson teaches the method of claim 60, comprising the at least one computer: automatically and periodically accessing the at least one database to determine whether new legal information responsive to the request is stored within the at least one database while each item of legal information associated with the previously accessed legal information is provided for display on the display device; and if new legal information responsive to the request is stored within the at least one database, providing for display on the display device each item of legal information associated with the new legal information together with each item of legal information associated with the previously accessed legal information all tabulated by type" at [0036], [0070] and Fig. 9.

### ***Response to Arguments***

4. Applicant's arguments with respect to claims **1-12, 16-24, 30, 32-35, 56-69** have been considered but are moot in view of the new ground(s) of rejection.

***Conclusion***

Examiner's Note: Examiner has cited particular columns and line numbers in the references applied to the claims above for the convenience of the applicant. Although the specified citations are representative of the teachings of the art and are applied to specific limitations within the individual claim, other passages and figures may apply as well. It is respectfully requested from the applicant in preparing responses, to fully consider the references in entirety as potentially teaching all or part of the claimed invention, as well as the context of the passage as taught by the prior art or disclosed by the Examiner.

In the case of amending the Claimed invention, Applicant is respectfully requested to indicate the portion(s) of the specification which dictate(s) the structure relied on for proper interpretation and also to verify and ascertain the metes and bounds of the claimed invention.

The prior art made of record, listed on form PTO-892, and not relied upon, if any, is considered pertinent to applicant's disclosure.

If a reference indicated as being mailed on PTO-FORM 892 has not been enclosed in this action, please contact Lisa Craney whose telephone number is **(571) 272-3574** for faster service.



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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Khanh B. Pham whose telephone number is (571) 272-4116. The examiner can normally be reached on Monday through Friday 7:30am to 4:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hosain Alam can be reached on (571) 272-3978. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Khanh B. Pham/  
Primary Examiner  
Art Unit 2166

August 5, 2010